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### Lithium-ion Battery Parameter Analysis Using Spectrogram

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#### ABSTRACT

Nowadays, energy storage improves the reliability and efficiency of electric utility system. The most common device used for storing electrical energy is battery. Obtaining an accurate data of battery parameter is important because it will be avoid unexpected system interruption and prevent permanent damage to the internal structure of the batteries. The objective of this study is to apply time-frequency distribution (TFD) which is spectrogram technique in analysis of voltage charging and discharging signal for lithium-ion battery. Spectrogram represents the battery signal in time frequency representation (TFR) which is appropriate to analyze the signal before displaying the instantaneous RMS voltage ( $V_{rms}$ ), direct current voltage (VDC) and alternating current voltage (VAC) parameter value. This paper focuses on lithium-ion (Li-ion) battery with nominal voltage of 6 and 12V and various storage capacities from 5 to 50Ah. The battery model is implemented in MATLAB/SIMULINK. From the results, the Li-ion battery parameter could be identified using spectrogram.

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#### INTRODUCTION

Humanity has depended on electricity ever since it was first discovered. Without this phenomenon, more technological advancement would not have been made. When the need for mobility increased, people switched to portable energy storage device – first of all wheeled application, then portable ones and finally wearable used. The most common device used for storing energy is rechargeable battery (Erdinc, 2009).

In 1800, Alessandro Volta discovered that a continuous flow of electrical force was generated when certain fluids were used as ionic conductor to promote an electrochemical reaction between two electrodes. This led to the invention of the first voltaic cell, better known as a battery (Bergveld, 2002). In batteries, the energy of chemical compounds acts as a storage medium. A battery is a device that converts electrical energy during charging and converts chemical energy to electrical energy during discharging (Plett, 2004).

In aspect of technology, rechargeable battery is improved from lead acid battery to nickel-based battery and from nickel-based battery to Li-ion battery (Tremblay, 2007). Li-ion batteries are increasingly used in portable electronics, automotive and aerospace applications, as well as in back-up power applications due to their high power density, high energy density, no memory effect, and low self-

discharge during storage (Daowd, 2010). Accurate estimation of battery parameter can avoid unpredicted system interruption and prevent the batteries from permanent damage to the internal structure of the batteries. Therefore the battery information such as voltage, current and storage capacity are important for good battery performance (He, 2012).

This paper introduces the used time-frequency distribution (TFD) which is spectrogram technique to analyse and identify Li-ion battery parameter. From the TFR, parameters of the signal are estimated and then, characteristic of the signal are calculated from the signal parameters (Abdullah, 2007). This paper focused on Lithium-ion (Li-ion) battery with nominal battery of 6 and 12V and various storage capacity from 5 to 50Ah. The battery model is implemented in MATLAB/SIMULINK. From on results, the battery parameter could be identified using spectrogram.

##### Lithium ion battery:

Li-ion battery contains lithium oxide instead of metal lithium. It makes use of lithium cobalt oxide as the positive electrode and special carbon as the negative electrode. Only lithium ions move between the positive and the negative poles (Zhang, 2011). The ions are ionized from positive material and move to the negative electrode during charge. During discharging, the ions move to the positive electrode and return to the original compound. The advantages

of Li-ion cells are that they have a self-discharge rate much lower than other battery, high energy density and no memory effect. In the literature, several studies have been reported regarding to Li-ion

battery (Sparacino, 2012). The equation for battery charging and discharging is used in the subsystem below:-

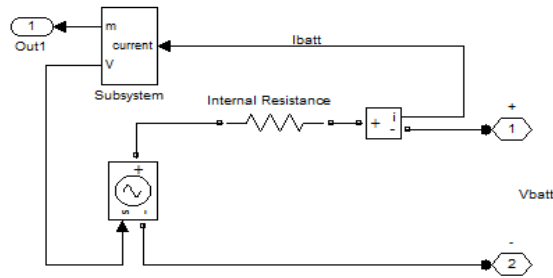


Fig. 1: Battery model.

- Discharging  $V_{batt} = E_0 - K \frac{Q}{Q-it} it - K \frac{Q}{Q-it} i - R.i + A.e^{(-B.it)}$  (1)

- Charging  $V_{batt} = E_0 - K \frac{Q}{Q-it} it - K \frac{Q}{it-0,1.Q} i - R.i + A.e^{(-B.it)}$  (2)

Where  $V_{batt}$  is no load voltage,  $E_0$  is battery constant voltage,  $K$  is the polarization voltage,  $Q$  is the battery capacity;  $it$  is the actual battery charge,  $A$  is the exponential zone amplitude,  $B$  is the exponential zone time constant inverse  $Ah^{-1}$ .

#### Methods:

##### 1) Time Frequency Distribution:

Linear time frequency distribution (TFD) provide information about time variation as well as frequency spectrum of Li-ion battery simultaneously which is using spectrogram. From TFR characteristic of the signals can be calculated. The signal characteristic are instantaneous RMS voltage, direct current voltage and alternating current voltage (Abdullah, 2013).

##### A. Spectrogram:

Spectrogram is one of the TFR that represents the three-dimensional of the signal with respect to time and frequency. The limitation of the FFT is that it is not able to cater non-stationary signal whose spectral characteristic changes in time. It is the result of calculating the frequency spectrum of window frames of compound signal (Zainal Abidin, 2013). Spectrogram is provided high frequency resolution and it is calculated as equation 3 below:-

$$S_x(t, f) = \left| \int_{-\infty}^{\infty} x(\tau) w(\tau-t) e^{-j2\pi f \tau} d\tau \right|^2 \quad (3)$$

##### 2) Parameters Estimation:

##### A. Instantaneous RMS voltage ( $V_{rms}$ ):

The instantaneous RMS current is the square root of the arithmetic mean of squares of the function of continuous waveform (Abdullah, 2013). It can define as:

$$V_{rms}(t) = \sqrt{\int_{\frac{f_{max}}{2}}^{\frac{f_{max}}{2}} S_x(t, f) df} \quad (4)$$

Where  $S_x(t, f)$  is the time-frequency distribution and  $\frac{f_{max}}{2}$  is the maximum frequency of interest.

##### B. Instantaneous Direct Current Voltage ( $V_{DC}$ ):

The direct current voltage can be calculated as;

$$V_{DC}(t) = \sqrt{\int_{\frac{\Delta f}{2}}^{\frac{\Delta f}{2}} S_x(t, f) df} \quad (5)$$

Where  $V_{DC}$  is direct current voltage,  $\frac{\Delta f}{2}$  is power system frequency and  $S_x(t, f)$  is spectrogram.

##### C. Instantaneous Alternating Current Voltage ( $V_{AC}$ ):

Instantaneous voltage alternating current consists of harmonic and non-harmonic distortion. The  $V_{AC}$  can be defined as;

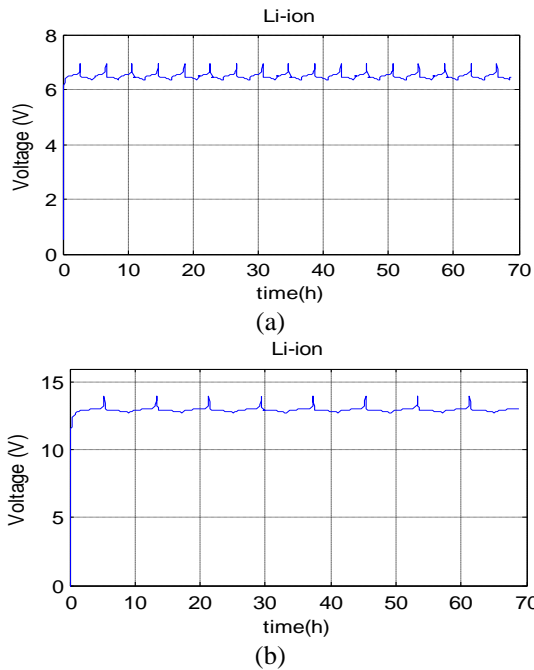
$$V_{AC}(t) = \sqrt{V_{rms}^2(t) - V_{DC}^2(t)} \quad (6)$$

Where  $V_{rms}$  is instantaneous root means (RMS) voltage and  $V_{DC}$  is direct current voltage.

#### Result and analysis data:

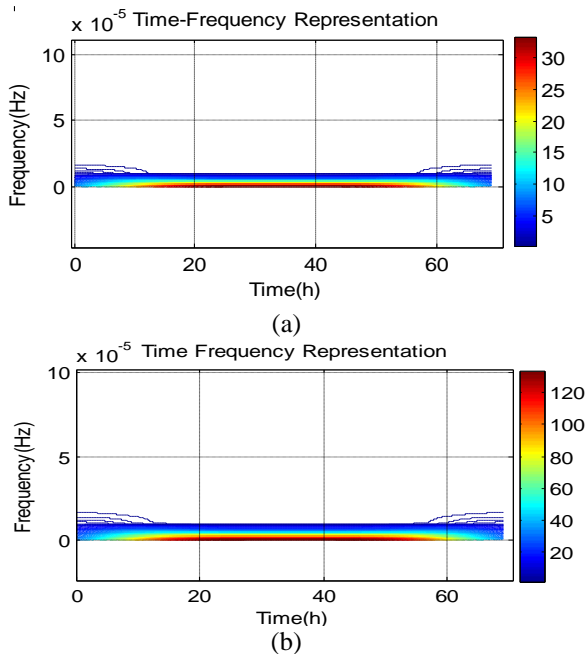
A simulation model is implemented in MATLAB/SIMULINK to evaluate the performance of the system. This simulation consists of Li-ion battery with voltage at 6 and 12V and various storage capacities in the range of 5 to 50Ah. The simulation starts with zero charge and then continues to rise until 100% of State of Charge (SOC) is achieved. Then the battery is operating until it reaches 25% of discharge and then it starts charging up to 100% again. Figures 2 (a) and (b) show the charge and

discharge voltage for Li-ion battery which used the voltage of 6V with 20Ah and 12V with 40Ah.



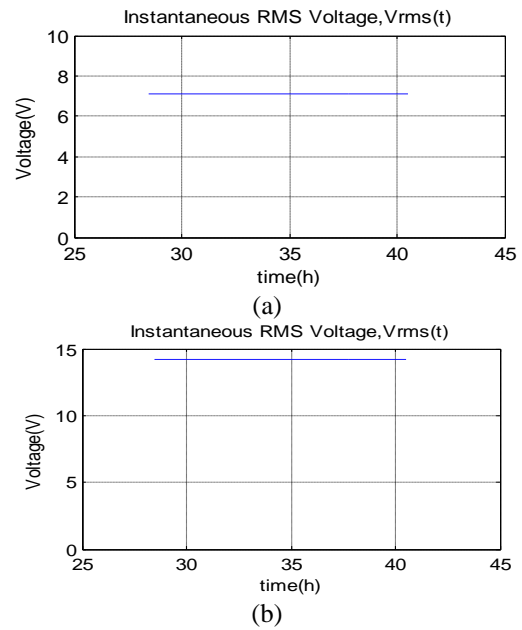
**Fig. 2:** Voltage Charging and Discharging for Li-ion battery.

Figures 3 (a) and (b) shows the time-frequency representation of the Li-ion battery signal. The hanning window is used which size window of 1024. The battery has a lower frequency of 0 Hz. The highest amplitude is represents in red color and blue color represent the lowest amplitude.

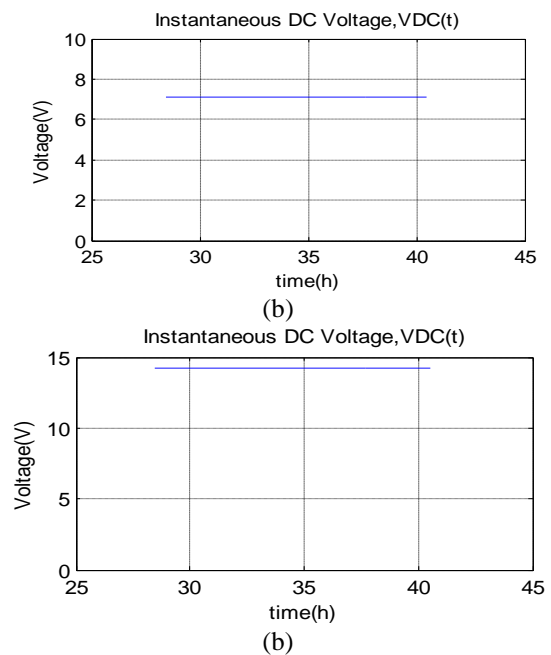


**Fig. 3:** Time Frequency Representation using spectrogram.

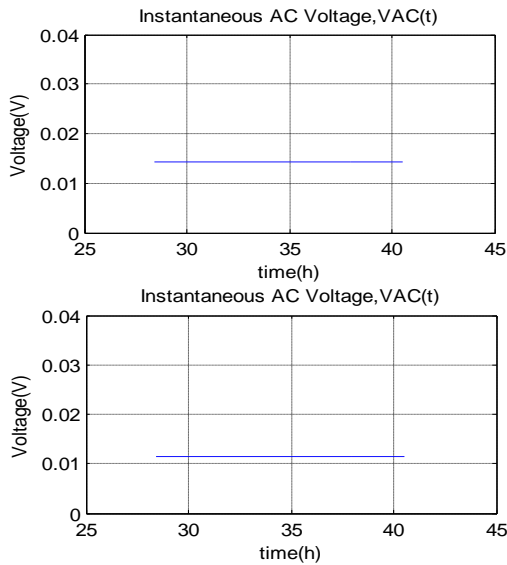
Figure 4, 5 and 6 show the parameter signal of instantaneous  $V_{rms}$ ,  $V_{DC}$  and  $V_{AC}$  are estimated from the TFR to identify the signal characteristics for Li-ion battery. It is use nominal voltage of 6 and 12V with capacity at 20 and 40 Ah. The  $V_{DC}$  is representing the battery voltage while the  $V_{AC}$  is used to find the capacity using equation 7. The parameters signal can be calculated using equation 4 to 6.



**Fig. 4:** RMS voltage (a) 6V with 20 Ah (b) 12V with 40Ah.

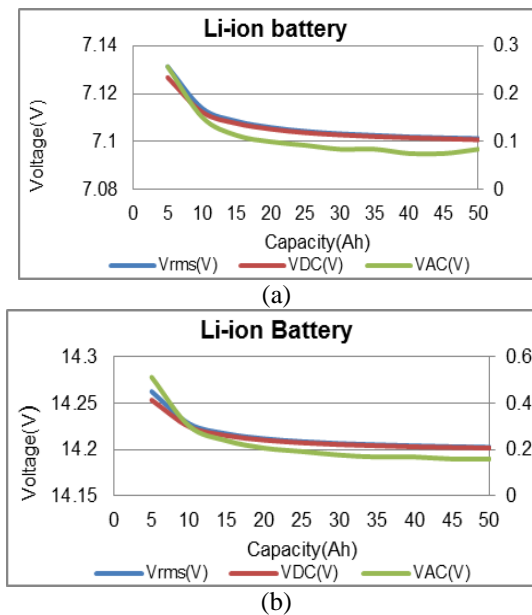


**Fig. 5:** Direct current voltage ( $V_{DC}$ ) (a) 6V with 20Ah (b) 12V with 40Ah.



**Fig. 6:** Alternating current voltage ( $V_{AC}$ ) (a) 6V with 20Ah (b) 12V with 40Ah.

Figure 7 (a) and (b) shows the combination of  $V_{rms}$ ,  $V_{DC}$  and  $V_{AC}$  of Li-ion battery signal for 6 and 12V with various capacities in the range of 5 to 50Ah. From the result, the  $V_{rms}$  value is appropriate to  $V_{DC}$  and the value is

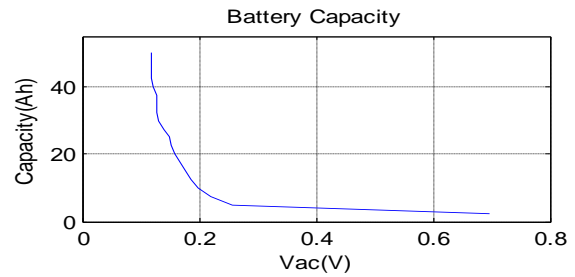


**Fig. 7:** Spectrogram result for  $V_{rms}$ ,  $V_{DC}$  and  $V_{AC}$  for (a) 6V with 20Ah (b) 12V with 40Ah.

Figure 8 shows, result for battery capacity. From the graph, Y-axis represents the storage capacity while X-axis represent the  $V_{AC}$ . The graph is produced using equation 7 and to verify the accuracy of the equation, mean absolute error percentage (MAPE) is used. Thus, the storage capacity can be estimated.

$$Q = 700 \exp(-23(V_{AC})) + 2.7 \quad (7)$$

Where  $Q$  is storage capacity and  $V_{AC}$  is alternating current voltage.



**Fig. 8:** Battery Capacity.

### Conclusion:

The performance of Li-ion battery using spectrogram has been analysed and demonstrate in this paper. The observation clearly shows that the TFR can be used to estimate the useful signal parameters such as instantaneous rms voltage ( $V_{rms}$ ), direct current voltage ( $V_{DC}$ ) and alternating current voltage ( $V_{AC}$ ) to determine the characteristic of the Li-ion battery. From the results, parameter of Li-ion battery has been determined and Li-ion battery is identified.

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