



Faculty of Information and Communication Technology

**A FRAMEWORK FOR ACCESSING PATIENT HEALTH RECORDS
USING INFORMATION COMMUNICATION TECHNOLOGY
DEVICES**

Noorayisahbe Binti Mohd Yaacob

Master of Science in Information and Communication Technology

2016

**A FRAMEWORK FOR ACCESSING PATIENT HEALTH RECORDS USING
INFORMATION COMMUNICATION TECHNOLOGY DEVICES**

NOORAYISAHBE BINTI MOHD YAACOB

**A thesis submitted
in fulfillment of the requirements for the degree of Master of Science
in Information and Communication Technology**

Faculty of Information and Communication Technology

UNIVERSITI TEKNIKAL MALAYSIA MELAKA

2016

DECLARATION

I declare that this thesis entitle “A Framework for Accessing Patient Health Records using Information Communication Technology Devices” is the result of my own research except as cited in the references. The thesis has not been accepted for any degree and is not concurrently submitted in candidature of any other degree.

Signature :

Name : Noorayisahbe Binti Mohd Yaacob

Date : 16 October 2016

APPROVAL

I hereby declare that I have read this thesis and in my opinion this thesis is sufficient in terms of scope and quality for the award of Master of Science in Information and Communication Technology.

Signature :

Supervisor Name : Assoc. Prof. Dr Mohd Khanapi Abd Ghani

Date : 16 October 2016

DEDICATION

To my beloved father Mohd Yaacob bin Abdul Hamid and my mother Norjahan Bibi binti Mohd Shariff and also my siblings. To everyone help me to completed this thesis and with me along this journey.

To those involved in the medical fraternity, discerning colleagues in the related field and above to all the stakeholders especially the patients, doctors, administrators and nurses in the ever evolving, challenging and dynamic medical field.

Essentially, to expedite and simplify the accessibility to the patients' healthcare records.

ABSTRACT

Health is an important aspect of life's well-being. Thus, it is important that the provision of healthcare is allowed and make it easy for patient to access their respective personal health records at any time and at anywhere. Electronic personal health record (e-PHR) can be defined as one of the important role in management of human life which provide patient to access and manage their medical at any time and at anywhere. However, a few gaps have been identified in the patient self-management. The first gap is the crucial personalize health records (PHR) attributed to be viewed by the patient. The second gap is the data integrity patient privilege-which meant provide the ability for the patient to delete information from the e-PHR or withhold the communication of certain information. Nevertheless, this capability is built into most e-PHRs by having this privilege-made personalized information not valid and leading to data manipulation. The aim of this research is to solve problem in patient self-management of e-PHR by capturing some limitations which are crucial PHR attributes and data integrity of PHR. The processes of this research started by identify the crucial dataset represent PHR attribute via surveys and interviews with medical experts to understand the crucial PHR attribute. Subsequently, by perform a comparison of current and previous studies on healthcare system architecture. The data collection of this research was done through several approaches via interviews, online surveys, questionnaires, literature reviews and observations. The primary data were collected using semi-structured and open-ended interviews and were combined with observations and analyses of the organization's documents. Meanwhile, secondary data were gathered from the literature review and organization documents that formed the theoretical and initial proposed framework. Finally, as a result, the design of conceptual and deployment model of proposed framework was produced and a prototype system (e-PHR) was developed to validate the proposed framework. The validation of this research consisted two parts which are validation of proposed framework architecture from software aspect and validation through user satisfaction aspect. The validation of the proposed framework architecture was done through interviews on few important criterions with the software expert from two companies based in Malacca. The result of validation of architecture for proposed framework part is precise. While, for the viability aspect was flexible and enabled the personal health record to be accessed anytime and anywhere by the patient through the multi devices and can be kept into storage devices. The implementation aspect was supported and recommended to be implemented not in UTeM but also in the entire private or government Health Centers in Malaysia. Additionally, the validation from the user satisfaction measured from few important aspects through surveys conducted at UTeM the target users is the UTeM staff including UTeM student in different faculties. As a result, 87.6% users agreed of the system capabilities. 83.2% users agreed with overall performance expectancy. 86.7% users agreed and were satisfied to use this system. In conclusion, this research was conducted through case study approach at the

UTeM Health Centre. The findings and validation showed that this framework is suitable to be implemented especially in Malaysia. Besides that, these research outcome have provide improvement and benefits in the field of electronic personalize health records where patient as user's are able to access and manage their own personal health records by themselves through the proposed framework via multi devices such smart phones, tablets, personal computers and laptops as well as to keep in local, cloud and centralized storages.

ABSTRAK

Kesihatan adalah satu aspek penting dalam kesejahteraan kehidupan. Oleh itu, adalah penting penyediaan penjagaan kesihatan dimana kemudahan akses kepada rekod kesihatan pesakit seperti data kesihatan peribadi elektronik yang boleh di akses dari mana-mana sahaja pada bila – bila masa. Rekod kesihatan peribadi elektronik (e-PHR) boleh ditakrifkan sebagai salah satu peranan penting dalam kehidupan manusia dan memberi kebenaran kepada pesakit untuk mengakses dan menguruskan rekod perubatan mereka di mana sahaja pada bila-bila masa. Walau bagaimanapun, beberapa kekangan telah dikenal pasti dalam aspek pengurusan pesakit melalui diri sendiri. Kekangan pertama adalah rekod kesihatan peribadi penting (PHR) yang atribut dapat dilihat oleh pesakit dan kekangan kedua adalah integriti data sebagai keistimewaan pesakit, iaitu keupayaan untuk memadam maklumat daripada e-PHR atau menahan penyampaian maklumat tertentu. Walau bagaimanapun, keupayaan ini dibina ke dalam kebanyakan e-PHRs dengan mempunyai keistimewaan ini dibuat maklumat peribadi tidak sah dan membawa kepada aspek manipulasi data. Tujuan kajian ini adalah untuk menyelesaikan masalah dari segi pengurusan oleh pesakit itu sendiri melalui e-PHR yang telah dikenal pasti terdapat beberapa batasan yang amat penting dalam PHR atribut dan integriti data PHR. Proses kajian ini telah dimulakan dengan mengenal pasti set data atribut PHR yang penting melalui kaji selidik dan temu bual dengan pakar perubatan untuk memahami set data atribut PHR semasa yang amat penting. Kemudian, dengan melakukan kajian perbandingan terhadap kajian semasa dan sebelum pada seni bina sistem penjagaan kesihatan. Pengumpulan data kajian ini telah dilakukan melalui beberapa pendekatan melalui temu bual, kaji selidik dalam talian, soal selidik, kajian literatur dan pemerhatian. Data primer (data utama) telah dikumpulkan menggunakan temu bual separa berstruktur dan terbuka dan telah digabungkan dengan pemerhatian dan analisis dokumen organisasi. Sementara itu, data sekunder dikumpulkan dari kajian literatur dan organisasi dokumen-dokumen yang telah membentuk rangka kerja yang dicadangkan teori dan awal. Selepas itu, hasilnya, reka bentuk model konsep rangka kerja telah dihasilkan dan sistem prototaip (e-PHR) telah dibangunkan untuk mengesahkan rangka kerja yang dicadangkan. Tambahan pula, pengesahan kajian ini terdiri daripada 2 bahagian iaitu pengesahan seni bina rangka kerja dari aspek perisian dan pengesahan melalui aspek kepuasan pengguna. Pengesahan rangka seni bina yang dicadangkan telah dilakukan melalui temu bual itu berdasarkan beberapa kriteria penting dengan pakar perisian dari dua syarikat yang stabil di Melaka. Hasil dari pengesahan rangka seni bina yang dicadangkan adalah ringkas dan tepat. Manakala, untuk aspek kebolehlaksanaan adalah fleksibel dan membolehkan rekod kesihatan peribadi diakses pada bila-bila masa dan di mana sahaja oleh pesakit melalui pelbagai peranti dan boleh menyimpan ke dalam peranti storan. Aspek perlaksanaan telah disokong dan disyorkan untuk meneruskan pelaksanaan di UTeM dan pusat kesihatan swasta atau kerajaan di dalam Malaysia. Selain itu, pengesahan dari kepuasan pengguna itu

telah diukur dari beberapa aspek penting melalui kaji selidik di UTeM sasaran responden adalah kakitangan UTeM termasuk pelajar UTeM di fakulti yang berbeza. Hasil dari pengesahan ini dari segi bahagian keupayaan sistem adalah kira-kira 87.6% bersetuju dengan keupayaan sistem, prestasi jangka adalah kira-kira 83.2% bersetuju dengan keseluruhan aspek prestasi dan kira-kira 86.7% bersetuju dan berpuas hati dengan sistem ini. Kesimpulannya, kajian penyelidikan ini telah dijalankan melalui kes pendekatan kajian di Pusat Kesihatan UTeM. Penemuan daripada pengumpulan data dan pengesahan telah menunjukkan bahawa rangka kerja ini adalah sesuai untuk diimplemenkan terutama di peringkat Malaysia. Selain itu, ini hasil penyelidikan telah membuat penambahbaikan dan memberi manfaat dalam bidang rekod peribadi kesihatan elektronik, dimana pesakit sebagai pengguna boleh mengakses dan mengurus rekod kesihatan peribadi pesakit mereka oleh mereka sendiri melalui pelbagai peranti seperti telefon pintar, table, komputer peribadi dan komputer riba pada bila-bila masa dan di mana-mana sahaja dan menyimpan di dalam peranti storan seperti peranti storan setempat, peranti simpanan awan dan peranti storan berpusat.

ACKNOWLEDGEMENTS

Firstly, I would like to extend my heartfelt thanks and gratitude to Universiti Teknikal Malaysia Melaka (UTeM) for the unstinting and generous support given to me in terms of academic advice and guidance as well as in providing the numerous facilities to assist in the undertaking of this task. I would like to appreciate my supervisor's Associate Professor Dr. Mohd Khanapi Bin Abdul Ghani and Associate Professor Dr. Samad Bin Hasan Basari for their invaluable advice and guidance throughout my research.

I would like to dedicate my sincerest thanks to my beloved parents for their precious love, guidance and support throughout my study including my siblings and friends.

I would like to thank CRIM & FTMK for financial support by providing grant, PJP/2012/C-ACT/Y00003.

TABLE OF CONTENTS

	PAGE
DECLARATION	
APPROVAL	
DEDICATION	
ABSTRACT	i
ABSTRAK	iii
ACKNOWLEDGEMENTS	v
TABLE OF CONTENTS	vi
LIST OF TABLES	ix
LIST OF FIGURES	xiii
LIST OF APPENDICES	xvi
LIST OF ABBREVIATIONS	xvii
LIST OF PUBLICATIONS	xix
CHAPTER	1
1. INTRODUCTION	1
1.1 Overview	1
1.2 Research Aim	3
1.3 Research Objectives	4
1.4 Research Question	4
1.5 The Problem and Its Context	5
1.6 Significant of Study	5
1.7 Scope of Study	5
1.8 Organization of the Report	9
2. LITERATURE REVIEW	10
2.1 Introduction	10
2.2 Overview of Patient Health Records	10
2.2.1 Incompleteness of the Contents of Patient Health Records	13
2.2.2 Personal Health Record Related to Research Study	14
2.3 Overview and Impacts of Electronic Health Records.	14
2.3.1 Overview of Personal Controlled Electronic Health Records	15
2.3.2 Electronic Health Records to Improve Quality and Efficiency.	16
2.3.3 Overview of Preventive Health Care	17
2.4 Environmental Barriers to Personal Health Record Adoption	17
2.5 Overview of E-Health Systems Evolution	20
2.6 Overview of Pervasive Health	21
2.6.1 Overview of Pervasive Communication in Healthcare	22
2.6.1 Overview of Pervasive Computing System Framework	23
2.7 Review of the Health Information System Framework	24
2.7.1 Review of Pervasive Devices in Healthcare	24
2.7.2 Overview of Design PEHR	25
2.7.3 Overview of Health Monitoring Service System	27
2.7.4 Analysis of Pervasive Health System	28
2.8 Overview of Designing Healthcare Framework	29
2.8.1 Overview of Designing Healthcare Expert System	29

2.9	Review of Developing EMR Framework for Malaysia's Public Hospitals.	33
2.10	Evaluating of Health Information System Framework	33
2.11	Review of the Healthcare Information System Framework	33
2.11.1	Approached to Medical Healthcare Framework	34
2.11.2	Review of Medical Framework	38
2.12	Summary of Analysis Pervasive Healthcare Framework	39
2.13	Summary	40
3.	METHODOLOGY	41
3.1	Introduction	41
3.2	Overview of Research Methodology	41
3.2.1	Research Strategy	42
3.2.2	Research Design	42
3.2.3	Research Processes and Classification	42
3.2.4	Exploratory, Descriptive, Analytical or Predictive Research	43
3.2.5	Deductive or Inductive Research	44
3.2.6	Applied or Basic Research	45
3.2.7	Quantitative or Qualitative Research	46
3.2.8	Mixed Method	46
3.2.9	Research Methodology Process	47
3.3	Selection Methodologies	47
3.4	Case Study Approach	48
3.4.1	Background of Case Study	48
3.4.2	Organization Chart of UTeM Clinic	50
3.5	Research Methodology	51
3.5.1	Conduct Literature Review	52
3.5.2	Perform Systematic Review for Current Framework	52
3.5.3	Analyze and Revise Case Study on Current Framework	52
3.5.4	Questionnaire Development for Survey	53
3.5.5	Questionnaire Development for Interview of Expert	53
3.5.6	Designed the Proposed Framework	54
3.5.7	Develop Software System Based on Proposed Framework	54
3.5.8	Framework Validation	54
3.5.9	Refine Framework	55
3.6	Data Collection	55
3.6.1	Data Collection Tool	56
3.7	Data Analysis	56
3.7.1	Using SPSS Tool	56
3.8	Data Collection Methodology	57
3.9	Triangulation	57
3.10	Sampling Strategy	58
3.11	Source of Information	59
3.12	Conclusion	60
3.13	Summary	61
4.	A PROPOSED FRAMEWORK	62
4.1	Introduction	62
4.2	e- Clinical Support System (e-CSS)	62
4.3	Analyzing Findings of Data Collection	63

4.3.1	Data Set Requirement of PHR Attribute	64
4.3.2	Analysis of Usage for Multi - Devices in UTeM	70
4.4	Workflow of CSS in UTeM	78
4.5	Software Architecture Design	80
4.5.1	Service-Oriented Architecture (SOA)	80
4.5.1	The Architecture of Patient Health Records	81
4.6	Proposed Framework	82
4.6.1	Overview of Conceptual Model Process	83
4.6.2	Deployment Model Process in CIS	88
4.7	Summary	94
5.	VALIDATION OF FRAMEWORK	95
5.1	Introduction	95
5.2	Validation of Framework through Interview	98
5.2.1	Interview Question	99
5.2.2	Summary of the Interview	124
5.2.3	Feedback of Viability Aspect	124
5.2.4	Feedback of Implementation Process Aspect	125
5.3	User Acceptance	125
5.3.1	Development of Prototype System e-PHR	125
5.4	Prototype System Interface	138
5.5	Validation of e-PHR for User Satisfaction	143
5.6	Summary	157
6.	CONCLUSION	158
6.1	Introduction	158
6.2	Summary of the Completed Work	158
6.3	Limitations and Constraints	162
6.4	Contributions	162
6.5	Further Research	162
	REFERENCES	163
	APPENDICES	177

LIST OF TABLES

TABLE	TITLE	PAGE
2.1	Summary of Review for Medical Health Care	35
2.2	Relevant Models Related to the Research.	39
3.1	Classification of main types of research	43
3.2	Source of Information	60
4.1	Percentage of gender	70
4.2	Percentage of age group	71
4.3	Percentage of highest education qualification	71
4.4	Percentage of experience in storage devices	72
4.5	Percentage of work position	72
4.6	Percentage of basic computer use	73
4.7	Percentage of familiar storage devices	73
4.8	Percentage of technologies used [smartphone]	74
4.9	Percentage of technologies used [cloud]	75
4.10	Percentage of technologies used [Pen Drive]	75
4.11	Percentage of data keeps in storage devices	76
4.12	Percentage of access medical records [Smartphone]	76
4.13	Percentage of selection storage devices preference	77
4.14	Percentage of storage devices preference [Thumb drive]	78

5.1	Interviewer Background	99
5.2	The explanation of proposed framework	99
5.3	The components of proposed framework	100
5.4	The functionalities of proposed framework	101
5.5	Process of proposed framework	102
5.6	Functionality of proposed framework	103
5.7	Implementation solution of proposed framework	104
5.8	Solution framework in accessing method	106
5.9	Method implement of proposed framework	107
5.10	Architecture flow in proposed framework	108
5.11	Flow described in each of the scenario	109
5.12	Revise the proposed framework components architecture	110
5.13	Design aspect of proposed frameworks	111
5.14	Scalable and flexible of design solution	112
5.15	Revise of design solution	113
5.16	Specific benefits from implementation	114
5.17	Impede of implementation	115
5.18	Implement the proposed framework (System)	116
5.19	Future for the proposed framework	117
5.20	Introduction to the proposed framework	118
5.21	Suggestion on introduce of proposed framework	119
5.22	Willing to implement	120
5.23	Implementation / acceptance of the proposed framework	121
5.24	Overcome of proposed framework	122
5.25	Standard of data	123

5.26	Category of age	143
5.27	Screen of e-PHR [reading character]	144
5.28	Screen of e-PHR [sequence]	144
5.29	Screen of e-PHR [structure]	145
5.30	Terminology and System Information [term]	145
5.31	Terminology and System Information [position of message]	145
5.32	Terminology and System Information [prompt]	146
5.33	Learning [learning to operate]	146
5.34	Learning [performing task]	147
5.35	Learning [help message]	147
5.36	System Capabilities [system reliability]	148
5.37	System Capabilities [system speed]	148
5.38	System Capabilities [system]	148
5.39	System Capabilities [designed]	149
5.40	System Capabilities [Overall satisfaction]	149
5.41	Performance expectancy of the e-PHR [quickly]	150
5.42	Performance expectancy of the e-PHR [structured]	150
5.43	Performance expectancy of the e-PHR [clearly]	151
5.44	Performance expectancy of the e-PHR [easily]	151
5.45	Performance expectancy of the e-PHR [health performance]	152
5.46	Performance expectancy [Overall satisfaction]	152
5.47	Effort expectancy of the e-PHR [easy]	153
5.48	Effort expectancy of the e-PHR [understandable]	153
5.49	Effort expectancy of the e-PHR [easy to used]	154
5.50	Effort expectancy of the e-PHR [view]	154

5.51	System Flexibility of e-PHR [anytime]	154
5.52	System Flexibility of e-PHR [comfortably]	155
5.53	System Flexibility of e-PHR [system]	156
5.54	Facilitating conditions of e-PHR [improve]	154
5.55	Facilitating conditions of e-PHR [healthy life]	156

LIST OF FIGURES

FIGURE	TITLE	PAGE
1.1	Health Informatics of Research	6
1.2	Scope of Research	8
2.2	PEHR Framework	29
3.1	Organization Chart of UTeM Health Center	50
3.2	The Research Methodology	51
4.1	The list of necessary PHR attributes to be viewed by patient	66
4.2	The list of crucial PHR attributes to be viewed by patient	67
4.3	The list of overall necessary PHR attributes to be viewed by patient	68
4.4	The list of overall crucial PHR attributes to be viewed by patient	69
4.5	UTeM Clinic Workflow Process	79
4.6	Software Architecture layer	82
4.7	Conceptual Model for proposed framework process	83
4.8	Deployment Model for Proposed Framework	88
5.1	The explanation of proposed framework	100
5.2	The components of proposed framework	101
5.3	The functionalities of proposed framework	102
5.4	Process of proposed framework	103
5.5	Functionality of proposed framework	104

5.6	Implementation solution of proposed framework	105
5.7	Solution framework in accessing method	106
5.8	Method implement of proposed Framework	107
5.9	Architecture flow in proposed framework	108
5.10	Flow described in each of the scenario	109
5.11	Revise the proposed framework components architecture	110
5.12	Design aspect of proposed frameworks	111
5.13	Scalable and flexible of design solution	112
5.14	Revise of design solution	113
5.15	Specific benefits from implementation	114
5.16	Impede the implementation of the proposed framework	115
5.17	Implement the proposed framework (System)	116
5.18	Future for the proposed framework	117
5.19	Introduction to the proposed framework	118
5.20	Suggestion on introduce of proposed framework	119
5.21	Willing to implement	120
5.22	Implementation / acceptance of the proposed framework	121
5.23	Overcome of proposed framework.	122
5.24	Standard for data	123
5.25	Use Case of e- PHR	127
5.26	Flowchart of e-PHR	128
5.27	Application Layer of e-PHR	130
5.28	Entity Relationship Diagram (ERD)	131
5.29	Class Diagram of [e-PHR]	132
5.30	User Registration	133

5.31	Login by User / Admin	133
5.32	View Episode Date	134
5.33	Add BMI data	134
5.34	Add Blood Pressure (BP) Data	135
5.35	Add Blood Glucose (BLG) Data	135
5.36	Manage Body Mass Index (BMI) by Admin	136
5.37	Manage Blood Pressure (BP)	136
5.38	Manage Blood Glucose (BLG)	137
5.39	Main Menu Page	138
5.40	Main Menu Page (1)	138
5.41	Sign Up Page	139
5.42	Login Page	139
5.43	User Page [Main Menu]	140
5.44	User Page [Episode Date]	140
5.45	Medical Info Page	141
5.46	Medical Info Pag (1)	141
5.47	Medical Info Page [Graph]	142
5.48	Medical Info Page [Graph 1]	142
5.49	Medical Info Page [Graph 2]	143

LIST OF APPENDICES

APPENDIX	TITLE	PAGE
A	Questionnaire for PHR attribute (Data set requirement)	177
B	Survey of Devices Usage in UTeM	178
C	User Satisfaction Survey Form for e-PHR	179
D	Validation of Proposed Framework.	180

LIST OF ABBREVIATIONS

e-PHR	-	Electronic Personalized Health Records
e-CSS	-	Electronic Clinical Support System
EHR	-	Electronic Health Records
PEHR	-	Personalized Electronic Health Record
LHR	-	Lifetime Health Records
PCEHR	-	Personalized Health Records
HIS	-	Hospital Information System
SOP	-	standard operating procedures
EMR	-	Electronic Medical Record
UTeM	-	Universiti Teknikal Malaysia Melaka
PAS	-	Patient Administration System
HRMIS	-	Human Resource Management Information System
SRs	-	Systematic Review
SPSS	-	Statistical Package for the Social Sciences
Drs	-	Doctors
MAs	-	Medical Assistants
PI	-	Patient Information
MH	-	Medical History
LoA	-	List of Allergy
VSD	-	Vital Sign Data
LoM	-	List of Medication
FMH	-	Family Medical History
PMI	-	Patient Medical Identification Number
HL 7	-	Health Level Seven International
OSI	-	Open Systems Interconnection

DSTU	-	Draft Standards for Trial Use
RMI	-	Remote Method Invocation
RPC	-	Remote Procedure Call
BLG	-	Blood Glucose
BMI	-	Body Mass Index
BP	-	Blood Pressure
WHO	-	World Health Organization
WTO	-	World Trade Organization
ICT	-	Information and Communication Technology
HIMS	-	Health Information Management System
IDE	-	Integrated Development Environment
SDK	-	Software Development Kit
ADT	-	Android Developer Tools
IT	-	Information Technology
MOH	-	Ministry Of Health
FFeF	-	Flexible Front-end Framework
POMR	-	Problem-Oriented Medical Record

LIST OF PUBLICATIONS

Proceeding

A Framework for Accessing Patient Health Records through Multi Channel of Devices. NM Yaacob, MKA Ghani, ASH Basari - e-Proceeding of Software Engineering Postgraduates, 2013

Journal

Mohd Khanapi Abd Ghani, Mustafa Musa Jaber, Burhanuddin Mohd Aboobaider, Hanipah Hussin, Mohammed Abdulameer Mohammed, Noorayisahbe Mohd Yaacob and Hadi Danawi, 2016. Analysis of Healthcare System in Iraq. The Social Sciences, 11: 2877-2884.

Hanipah Hussin, Aliza Che Amran, Mohd Ariff Mat Hanafiah, Fadzilah Salim, Adlan Ali, Gede Ananta Pramudya, Mohd. Razali Yunos, Noorayisahbe Mohd Yaacob and Mustafa Musa Jaber, 2016. Malaysian Teacher/Lecturer Education Development in TVET: A Fundamental Framework for Human Capital Development. International Business Management, 10: 2980-2986.

A Design for Web Based Electronic Personalized Health Record [e-PHR]: A Case study in Malaysian University. International Conference on Electrical and Electronic Engineering (IC3E'15) –[Accepted]