



# Reflection Decomposition in Single Images Using an Optimum Thresholding-based Method

Habibullah Akbar, Nanna Suryana, Shahrin Sahib, Gede Pramudya, Halizah Basiron Department of Industrial Computing Faculty of Information and Communication Technology Universiti Teknikal Malaysia Melaka habibrown@gmail.com

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## ABSTRACT

Traditional methods of separating reflection components have been developed based on multiple images. There are only few methods which are able to use a single image. However, their applicability is limited due to offline setting of its arbitrary parameter. In this study, we propose an effective method to separate specular components using a single image which based on an optimum thresholding-based technique. This method employs modified specular-free image and selects an optimum value for the offset parameter. In contrast to prior method, the proposed method processes all the steps automatically and produces better performance. Experimental results for inhomogeneous objects demonstrate the promising applicability for real-time implementation. However, this method is unsuitable for objects with strong specular reflection. An extension is suggested to include the specular lobe reflectance into Shafer dichromatic model.

## Keywords: threshold selection, specular and diffuse images, modified specular-free image

## 1. Introduction

Many computer vision algorithms ignore the specular reflection components from objects. Consequently, the performance of these algorithms is decreased when dealing with objects that exhibit pure or partially-specular reflectance. Indeed, Huge amount of manufactured products inherently exhibits specular reflection in some degree (Balzer & Werling, 2010). According to an aesthetical purpose, the manufactured product normally is painted to produce the desired visual appearance. Therefore, these kinds of objects exhibit both specular and diffuse reflectance.

Many methods have been developed to handle the object's specular properties. One of the most popular suggestions is to treat the specular as noise. These methods assume the reflection as a combination linear of specular and diffuse components. Generally, the methods can be classified into multiple-based images and single-based images. In the multiple-base methods, polarization (Nayar et al., 1997), temporal-color space images (Sato & Ikeuchi, 1994) and sparse images (Lin & Shum, 2001) methods have shown some

noticeable progresses. However, the requirement of using multiple images prevents these methods to go beyond theoretical framework.

A single-based method offers more practical use for the real-time applications. Most of these methods was developed using Shafer dichromatic reflection model (Shafer, 1985). An exploitation of color histogram was presented by (Klinker et al., 1988). They separate specular and diffuse components by identifying two limbs on the *T*-shaped color distribution. More advanced method was presented by (Tan & Ikeuchi, 2005) by introducing the concept of specular-free (SF) image. The method does not require direct color segmentation. The diffuse pixel can be estimated using logarithmic differentiation operations. However, the diffuse maximum chromaticity is determined manually. A more efficient method to estimate the SF image was proposed by (Shen & Cai, 2009). A direct calculation of SF image can be done by simple finding the minimum pixel value from the color channels. Then, the diffuse image can be obtained by adding the SF image with an offset parameter. A threshold is required to determine the offset parameter. The threshold was calculated using mean and standard deviation that multiplied by specular degree coefficient. However, this method required an assumption about the coefficient value. A recent survey of specularity removal methods is available in (Artusi et al., 2011).

The prior methods show promising results due to its efficiency and robustness to dichromatic material. However, the choice of arbitrary parameter is remain unsolved as an open problem. This paper aims to introduce an alternative to estimate the specular degree coefficient using optimization techniques. In the proposed method, discriminant criterion (Otsu, 1979) is used to determine the specular coefficient parameter as a function of intraclass separability of pixels in the histogram. The advantage of this method is obvious because the process of specular-diffuse reflectance decomposition can be done automatically.

#### 2. Reflection Model Formulation

#### 2.1.Shafer Model

Most of specular-diffuse components separation methods are developed based on Shafer dichromatic reflection model (Shafer, 1985). The model assumes that the surface reflectance from an object is a linear combination of body and interface reflections. Each reflection can be decomposed into geometry scale factor and spectral distribution function as follows.

$$I(\mathbf{X},\lambda) = w_d(\mathbf{X})I_d(\lambda) + w_s(\mathbf{X})I_s$$
(1)

where  $w_d(X)$  and  $w_s(X)$  denote the diffuse and specular geometrical scale factor respectively at pixel position, X(x, y). The  $I_d(\lambda)$  and  $I_s$  are diffuse and specular reflection components respectively. The specular component is independent to the color of illumination as assumed by (Lee et al., 1990).

#### 2.2. Modified Specular-Free Image

The specular-free (SF) image is an image which is identical to diffuse component of the original image (Tan & Ikeuchi, 2005). The SF image is obtained by setting an arbitrary diffuse maximum chromaticity parameter as a constant value bounded between 1/3 and 1. A

more efficient representation to produce SF image is given by (Shen & Cai, 2009) and (Shen et al., 2008) by subtracting the minimum value from the RGB color components:

$$I_{sf}^{i}(X) = I^{i}(X) - \min(I^{r}(X) + I^{g}(X) + I^{b}(X))$$
(2)

$$I_{sf}^{i}(\mathbf{X}) = I^{i}(\mathbf{X}) - I_{\min}(\mathbf{X})$$
(3)

where  $I^{i}(X)$  is a component of color vector I(X) and  $i = \{r, g, b\}$  and  $I^{i}_{sf}(X)$  is the *i*-th component of the SF image  $I_{sf}(X)$ . The modified version of SF image is given by adding an offset parameter  $\delta(X)$ :

$$I_{msf}^{i}(\mathbf{X},\sigma) = I_{sf}^{i}(\mathbf{X}) + \delta(\mathbf{X})$$
(4)

The offset is determined based on a threshold T value. The diffuse component is recognized by comparing the minimum value of a pixel and threshold T. Otherwise, the pixel is recognized as specular component. The threshold value is obtained by using mean and standard deviation of  $I_{\min}(X)$ :

$$T = \mu + \eta \sigma \tag{5}$$

The coefficient  $\eta$  is related to the degree of the image specularity. The value is assumed as scalar value equal to 0.5 for most materials. However, some objects might have different specularity value and this assumption can lead to an erroneous interpretation.

#### 3. The Proposed Method

#### 3.1.Otsu Criterion

We proposed the method for selecting the coefficient  $\eta$  value based on a clusteringbased thresholding technique. In this paper, we utilize thresholding-based method which based on discriminant criterion (Otsu, 1979) to compute a global threshold value by minimizing the intra-class variance of the black and white pixels, where the level is a normalized intensity value that lies in the range [0, 1].

Consider the input image has minimum and maximum luminance at gray-level 0 and *L* respectively. Hence, each pixel might have any gray-level value between [0, 1, 2, ..., L - 1]. The occurrence probability of gray-level *i* can be denoted and normalized as follows.

$$p_i = \frac{n_i}{N}, \qquad 0 \le p_i \le 1 \tag{6}$$

where  $n_i$  is the number of pixels at gray-level *i* and *N* is the total number of pixels in the input image which satisfies the following condition:  $N = n_0 + n_1 + n_2 + ... + n_{L-1}$ .

Originally, this method aims to separate input image into object (foreground) and its background. In this case, we use it to separate diffuse and specular components. Therefore, the image pixels can be separated into two classes  $C_f$  and  $C_b$  by a threshold at a gray-level T.

The foreground class  $C_f$  and the background class  $C_b$  consist of gray-levels range between [0, *T*-1] and [*T*, *L*-1] respectively. Then, the occurrence probability can be denoted as follows.

$$w_0 = P(C_f) = \sum_{i=0}^{T-1} p_i = w(T)$$
(7)

$$w_{1} = P(C_{b}) = \sum_{i=T}^{L-1} p_{i} = 1 - w(T)$$
(8)

and the class mean levels are given in the following equations.

$$\mu_0 = \sum_{i=0}^{T-1} \frac{ip_i}{w_0} = \frac{\mu(T)}{w(T)}$$
(9)

$$\mu_1 = \sum_{i=T}^{L-1} \frac{ip_i}{w_1} = \frac{\mu_T - \mu(T)}{1 - w(T)}$$
(10)

which holds the first-order cumulative moment of the histogram and total mean level of input image as follows.

$$\mu(T) = \sum_{i=0}^{T-1} i p_i$$
(11)

$$\mu_T = \mu(L) = \sum_{i=0}^{L} i p_i$$
(12)

From both class variances, the following function can be derived based on the class means as follows.

$$\sigma^{2}(T) = \frac{\left[\mu_{T}w(T) - \mu(T)\right]^{2}}{w(T)[1 - w(T)]}$$
(13)

Consequently, optimal threshold  $T^*$  that maximizes the separability of the threshold at gray-level *T* can be obtained through the following equation:

$$\sigma^{2}(T^{*}) = \underset{0 \le T \le L-1}{\operatorname{arg\,max}} \sigma^{2}(T) \tag{14}$$

In constrast to (Shen & Cai, 2009), we do not need to adjust the specularity level in the image. The diffuse reflection component of pixel X can be calculated as follows:

$$I_{df}^{i}(\mathbf{X},\sigma) = I^{i}(\mathbf{X}) - w_{s}(\mathbf{X})$$
<sup>(15)</sup>

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where

$$w_s(\mathbf{X}) = I_{\min}(\mathbf{X}) - \delta(\mathbf{X}) \tag{16}$$

## 4. Results and Discussion

The proposed method is evaluated on a Core2Duo 1.83-GHZ computer with 2 GB RAM and MATLAB. There are two types of objects that are used for the experiments. The first type represents the sample of inhomogeneous objects which are fish and toys images. These images can be obtained on Tan's homepage website (please check on the last reference). The second type represents the sample of objects with stronger specular reflection. The experimental setup is shown in Fig. 1.



Fig. 1. Experimental Setup for capturing images of industrial samples, (left) the CCD camera (right) camera and bar light.

These images (part1, part2 and part3) were taken using a CCD camera: of Basler ACE GigE Camera with 25mm Camera Fix Lens. The illumination system is LED Bar Light with length of 190 mm. Both types of images are shown in Fig. 2 and 3 at the left most side.

Image	(Shen & Cai, 2009)		Proposed Method	
Image	η	Т	η	Т
Fish	0.5	16.8	0.18	12.9
Toys	0.5	17.2	0.20	15.0
part1	0.5	30.4	0.31	23.3
part2	0.5	57.7	0.35	50.0
part3	0.5	12.4	0.13	7.4

TABLE 1: COMPARISON OF COEFFICIENT $\eta$ VALUES
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Table 1 shows the experimental results of coefficient  $\eta$  values and the corresponding threshold values T. The results of the proposed method are always lower than (Shen & Cai, 2009). This means that the optimum  $\eta$  value of coefficient not necessary has to bet set as 0.5. In the results, these values can go beyond the lower boundary i.e. 1/3 which is opposite to their maximum chromaticity definition. The proposed method also verified that this parameter can be obtained automatically using Otsu criterion.

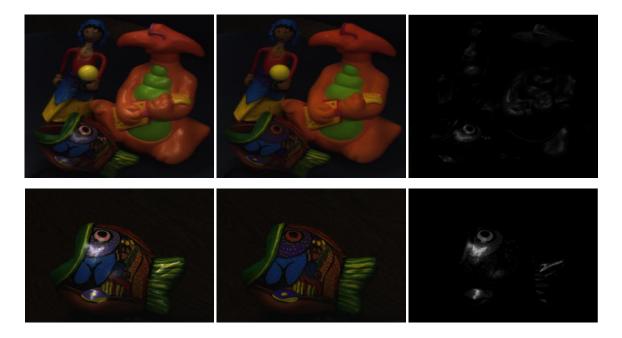


Fig. 2. Results on two benchmark images i.e. (top) toys and (bottom) fish, (left) original images; (middle) diffuse images; (right) specular images

On one hand, Fig. 2 shows the evaluation of the proposed method for inhomogeneous objects. For the two benchmark images, the partial specular reflectance is well separated. The diffuse image shows no sign of specular components and the specular image shows isolated specular components only. This demonstrate that the proposed works well for this kind of objects.

On the other hand, Fig. 3 shows the results for objects that exhibits very strong specular reflection and very weak diffuse reflection. In contrast to inhomogeneous material, the diffuse image shows that the algorithm over estimate the specular reflection and leave some blank area on its surface. This area, in fact, becomes empty because the metal surfaces almost do not have diffuse properties. Moreover, Shafer's model assumes that particular object of interest has both diffuse and specular reflections that can be violated by some metal surfaces or objects with specular lobe reflectance.

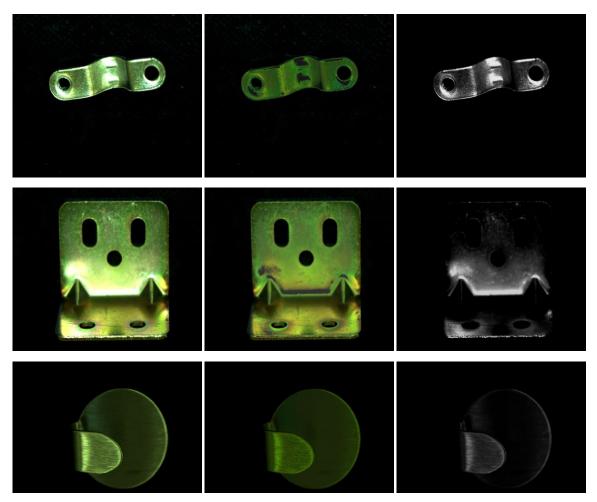


Fig. 3. Results on three industrial part images i.e. (top) part1; (middle) part2; (bottom) part3, (left) original images; (middle) diffuse images; (right) specular images

## 5. Conclusion

This paper proposes the extension version of separation algorithm for decomposing diffuse and specular components in a single image. The threshold selection is estimated under Otsu criterion that based on the optimization method. The method offers one type of solution to close the gap on prior separation algorithm where manual selection or assumption is required to choose the arbitrary parameter. The incorporation optimization method enables the fully automatic calculation for the whole separation processes. The experimental results on inhomogeneous objects demonstrate the feasibility of the proposed method for practical use. However, the results objects with very strong reflectance show the limitation of this method. The estimated diffuse image loss of its some pixel values especially for the strong specular reflectance. In future, we aim to include the specular lobe components to the Shafer's model.

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